

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Divisional Patent Application Of:)
Kenneth Kiron and Kevin S. Bander)
Application No. 09/140,868) Group Art Unit: 2761
Filed: August 27, 1998) Examiner S. Tkacs
For: Open End Mutual Fund Securitization Process)



Box Fee Amendment
Assistant Commissioner For Patents
Washington, D.C. 20231

RESPONSE

Dear Sir:

The following Amendments and Remarks are submitted in response to the Office Action dated March 2, 1999. Please amend the Application as follows:

In the Claims:

Please cancel Claims 1, 3-7 and 9-18.

Please amend Claim 8 as follows:

8. (Amended) An electronic data processing method for administering a financial product having a known number of shares over a predetermined period of time, which financial product is traded as a security the price of which is determined on the basis of information about one or more securities, comprising:
- a) directing a computer processor to select from said one or more securities a selected portfolio of securities based upon a predefined criteria [the risk/return performance of which over a predetermined period of time is superior to a predefined benchmark performance];
 - b) receiving information on each of said one or more securities in the selected portfolio in an electronic data format;
 - c) storing at least the received information on each security in the selected portfolio in a computer memory;